



# State of Arizona Department of Homeland Security



Governor Douglas A. Ducey

Director Gilbert M. Orrantia

September 1, 2016

The Honorable Douglas A. Ducey, Governor  
1700 W. Washington Street  
Phoenix, AZ 85007

Dear Governor Ducey,

Pursuant to A.R.S. § 35-113, the Arizona Department of Homeland Security (AZDOHS) submitted the Department's State Fiscal Year (FY) 2018 budget request. We look forward to your continued support of homeland security issues in Arizona.

A Budgetary policy decision at the Federal level will not impact this Department's 2018 budgets. The AZDOHS has seen a 63% reduction in Federal funding since 2007, including a 36% administrative decline; however, funds awarded have remained consistent over the past three funding cycles and therefore the AZDOHS will not be requesting a State general fund allocation for State Fiscal Year 2018.

Thank you for the opportunity to submit this information. I remain available to provide further information or clarification.

Sincerely,

A handwritten signature in black ink, appearing to read "Gilbert M. Orrantia".

Gilbert M. Orrantia  
Director

Cc: Lorenzo Romero, Director, Governor's Office of Strategic Planning and Budgeting  
Richard Stavneak, Director, Joint Legislative Budget Committee Staff  
Taylor Pair, Budget Analyst, Governor's Office of Strategic Planning and Budgeting  
Joshua Hope, Fiscal Analyst, Joint Legislative Budget Committee Staff



# State of Arizona Budget Request

State Agency

Department of Homeland Security

A.R.S. Citation: A.R.S. § 41-4252

**Governor DUCEY:**

This and the accompanying budget schedules, statements and explanatory information constitute the operating budget request for this agency for Fiscal Year 2018.

To the best of my knowledge all statements and explanations contained in the estimates submitted are true and correct.

Agency Head: **Gilbert M. Orrantia**

Title: **Director**

(signature)

Phone: **(602) 542-1716**

Prepared By: **Kevin Mancino**

Email Address: **kmancino@azdohs.gov**

Date Prepared: **Sunday, August 23, 2015**

**Non-Appropriated Funds**

Total Amount Planned:

Federal Grant Fund

FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total Budget
30,561.1	0.0	30,561.1
30,561.1	0.0	30,561.1

## Revenue Schedule

**Agency:** HLA Department of Homeland Security

**Fund:** 2000 Federal Grant Fund

<b>AFIS Code</b>	<b>Category of Receipt and Description</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>
4211	FEDERAL GRANTS	23,460.3	30,547.6	30,561.4
<b>Fund Total:</b>		23,460.3	30,547.6	30,561.4

## Revenue Schedule

<b>Agency:</b>	<b>HLA</b>	<b>Department of Homeland Security</b>
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<b>Fund:</b>	<b>2000</b>	<b>Federal Grant Fund</b>
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**Justification:** The fiscal year 2017 proposed program expenditure schedule was used as a baseline for 2018 revenue.

State fiscal year 2015 funds began in September 2014 and will expire on 8/31/16. These remaining funds will be drawn down and expended in part of fiscal year 2017.  
State fiscal year 2016 funds began in September 2015 and will expire 8/31/18. These funds will be drawn down and expended in fiscal years 2016, 2017, 2018 and part of 2019.  
State fiscal year 2017 funds will begin in September 2016 and will expire 8/31/19. These funds will be drawn down and expended in fiscal year 2017, 2018, 2019 and part of 2020.

## Sources and Uses of Funds

<b>Agency:</b>	HLA	Department of Homeland Security
<b>Fund:</b>	2000	Federal Grant Fund

<b>Cash Flow Summary</b>	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Revenue (From Revenue Schedule)	23,460.3	30,547.6	30,561.4
Total Available	23,460.3	30,547.6	30,561.4
Total Non-Appropriated Disbursements	23,484.3	30,561.1	30,561.1
Balance Forward to Next Year	(24.0)	(37.5)	(37.2)

<b>Non-Appropriated Expenditure</b>	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
<b>Expenditure Categories</b>			
Personal Services	1,032.2	1,040.0	1,040.0
Employee Related Expenses	350.9	364.0	364.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	13.4	16.0	16.0
Travel - Out of State	15.0	14.0	14.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	19,017.7	26,114.0	26,114.0
Other Operating Expenses	186.6	211.3	211.3
Equipment	1.7	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	2,866.8	2,801.8	2,801.8
<b>Expenditure Categories Total:</b>	<b>23,484.3</b>	<b>30,561.1</b>	<b>30,561.1</b>
<b>Non-Appropriated Expenditure Total:</b>	<b>23,484.3</b>	<b>30,561.1</b>	<b>30,561.1</b>
<b>Non-Appropriated FTE:</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>

### **Fund Justification**

**Justification:** This fund receives revenues from federal homeland security grants to the State of Arizona. Grant funds are passed through to local jurisdictions and state agencies to enhance the ability of Arizona to plan for, protect against, prevent, respond to and recover from terrorist incidents and other hazards. Funds are also used to manage and administer the grant program.

### **Fund Description**

**Source:** Funds are from Federal Grants administered by the U.S. Department of Homeland Security.

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**Use:** Funds are used to maintain baseline operations of the Arizona Department of Homeland Security and are passed through to local jurisdictions and state agencies to fund homeland security projects.

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**OSP:** For Arizona Department of Homeland Security: Revenues from DHS (FEMA) federal grants to support Homeland Security activities and preparedness, including the State Homeland Security Grant Program (SHSGP), the Urban Area Security Initiative (UASI), the Operation Stonegarden Grant Program (OPSG), and other Homeland Security grants.

## Summary of Expenditure and Budget Request for All Funds

**Agency:** HLA Department of Homeland Security

**Non-Appropriated**

		FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
Cost Center/Program:					
1	Department of Homeland Security	23,484.3	30,561.1	0.0	30,561.1
		23,484.3	30,561.1	0.0	30,561.1
<b>Expenditure Categories</b>					
	FTE	16.0	16.0	0.0	16.0
	Personal Services	1,032.2	1,040.0	0.0	1,040.0
	Employee Related Expenses	350.9	364.0	0.0	364.0
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	13.4	16.0	0.0	16.0
	Travel Out of State	15.0	14.0	0.0	14.0
	Food (Library for Universities)	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	19,017.7	26,114.0	0.0	26,114.0
	Other Operating Expenses	186.6	211.3	0.0	211.3
	Equipment	1.7	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	2,866.8	2,801.8	0.0	2,801.8
<b>Expenditure Categories Total:</b>		23,484.3	30,561.1	0.0	30,561.1

# Summary of Expenditure and Budget Request for All Funds

Agency: HLA Department of Homeland Security

Agency Total for All Funds:	23,484.3	30,561.1	0.0	30,561.1			
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## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b> HLA Department of Homeland Security
<b>Fund:</b> 2000 Federal Grant (Non-Appropriated)

	FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
Cost Center/Program:				
1 Department of Homeland Security	23,484.3	30,561.1	0.0	30,561.1
	23,484.3	30,561.1	0.0	30,561.1
<b>Expenditure Categories</b>				
FTE	16.0	16.0	0.0	16.0
Personal Services	1,032.2	1,040.0	0.0	1,040.0
Employee Related Expenses	350.9	364.0	0.0	364.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	13.4	16.0	0.0	16.0
Travel Out of State	15.0	14.0	0.0	14.0
Food (Library for Universities)	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	19,017.7	26,114.0	0.0	26,114.0
Other Operating Expenses	186.6	211.3	0.0	211.3
Equipment	1.7	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	2,866.8	2,801.8	0.0	2,801.8
<b>Expenditure Categories Total:</b>	23,484.3	30,561.1	0.0	30,561.1
<b>Fund Total:</b>	23,484.3	30,561.1	0.0	30,561.1

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	HLA	Department of Homeland Security
<b>Fund:</b>	2000	Federal Grant (Non-Appropriated)

	FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
<b>Agency Total for Selected Funds</b>	23,484.3	30,561.1	0.0	30,561.1

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	HLA	Department of Homeland Security
<b>Program:</b>	1	Department of Homeland Security

		FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
<b>Program Summary</b>					
1-1	Homeland Security	23,484.3	30,561.1	0.0	30,561.1
<b>Program Summary Total:</b>		23,484.3	30,561.1	0.0	30,561.1
<b>Expenditure Categories</b>					
0000	FTE Positions	16.0	16.0	0.0	16.0
6000	Personal Services	1,032.2	1,040.0	0.0	1,040.0
6100	Employee Related Expenses	350.9	364.0	0.0	364.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	13.4	16.0	0.0	16.0
6600	Travel Out of State	15.0	14.0	0.0	14.0
6700	Food (Library for Universities)	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	19,017.7	26,114.0	0.0	26,114.0
7000	Other Operating Expenses	186.6	211.3	0.0	211.3
8000	Equipment	1.7	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	2,866.8	2,801.8	0.0	2,801.8
<b>Expenditure Categories Total:</b>		23,484.3	30,561.1	0.0	30,561.1
<b>Fund Source</b>					
<b>Non-Appropriated Funds</b>					
2000-N	Federal Grant (Non-Appropriated)	23,484.3	30,561.1	0.0	30,561.1
<b>Fund Source Total:</b>		23,484.3	30,561.1	0.0	30,561.1

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	HLA	Department of Homeland Security
<b>Program:</b>	1	Department of Homeland Security

		FY 2016	FY 2017	FY 2018	FY 2018
		Actual	Expd. Plan	Fund. Issue	Total
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<b>Fund:</b>	2000-N	Federal Grant (Non-Appropriated)			
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<b>Program Expenditures</b>					
COST CENTER/PROGRAM BUDGET UNIT					
1-1	Homeland Security	23,484.3	30,561.1	0.0	30,561.1
	Total	23,484.3	30,561.1	0.0	30,561.1
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<b>Non-Appropriated Funding</b>					
<b>Expenditure Categories</b>					
	FTE Positions	16.0	16.0	0.0	16.0
	Personal Services	1,032.2	1,040.0	0.0	1,040.0
	Employee Related Expenses	350.9	364.0	0.0	364.0
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	13.4	16.0	0.0	16.0
	Travel Out of State	15.0	14.0	0.0	14.0
	Food (Library for Universities)	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	19,017.7	26,114.0	0.0	26,114.0
	Other Operating Expenses	186.6	211.3	0.0	211.3
	Equipment	1.7	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	2,866.8	2,801.8	0.0	2,801.8
<b>Expenditure Categories Total:</b>		<b>23,484.3</b>	<b>30,561.1</b>	<b>0.0</b>	<b>30,561.1</b>
<b>Fund 2000-N Total:</b>		<b>23,484.3</b>	<b>30,561.1</b>	<b>0.0</b>	<b>30,561.1</b>
<b>Program 1 Total:</b>		<b>23,484.3</b>	<b>30,561.1</b>	<b>0.0</b>	<b>30,561.1</b>

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	HLA	Department of Homeland Security
<b>Program:</b>	1-1	Homeland Security

Expenditure Categories	FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
0000 FTE	16.0	16.0	0.0	16.0
6000 Personal Services	1,032.2	1,040.0	0.0	1,040.0
6100 Employee Related Expenses	350.9	364.0	0.0	364.0
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	13.4	16.0	0.0	16.0
6600 Travel Out of State	15.0	14.0	0.0	14.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	19,017.7	26,114.0	0.0	26,114.0
7000 Other Operating Expenses	186.6	211.3	0.0	211.3
8000 Equipment	1.7	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	2,866.8	2,801.8	0.0	2,801.8
<b>Expenditure Categories Total:</b>	23,484.3	30,561.1	0.0	30,561.1
<b>Fund Source</b>				
<b>Non-Appropriated Funds</b>				
2000-N Federal Grant (Non-Appropriated)	23,484.3	30,561.1	0.0	30,561.1
<b>Fund Source Total:</b>	23,484.3	30,561.1	0.0	30,561.1

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: HLA Department of Homeland Security		FY 2016	FY 2017	FY 2018	FY 2018
		Actual	Expd. Plan	Fund. Issue	Total
<b>Program: 1-1 Homeland Security</b>					
<b>Fund: 2000-N Federal Grant Fund</b>					
<b>Non-Appropriated</b>					
0000 FTE		16.0	16.0	0.0	16.0
6000 Personal Services		1,032.2	1,040.0	0.0	1,040.0
6100 Employee Related Expenses		350.9	364.0	0.0	364.0
6200 Professional and Outside Services		0.0	0.0	0.0	0.0
6500 Travel In-State		13.4	16.0	0.0	16.0
6600 Travel Out of State		15.0	14.0	0.0	14.0
6700 Food (Library for Universities)		0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals		19,017.7	26,114.0	0.0	26,114.0
7000 Other Operating Expenses		186.6	211.3	0.0	211.3
8000 Equipment		1.7	0.0	0.0	0.0
8100 Capital Outlay		0.0	0.0	0.0	0.0
8600 Debt Service		0.0	0.0	0.0	0.0
9000 Cost Allocation		0.0	0.0	0.0	0.0
9100 Transfers		2,866.8	2,801.8	0.0	2,801.8
<b>Non-Appropriated Total:</b>		23,484.3	30,561.1	0.0	30,561.1
<b>Fund Total:</b>		23,484.3	30,561.1	0.0	30,561.1
<b>Program Total For Selected Funds:</b>		23,484.3	30,561.1	0.0	30,561.1

## Program Expenditure Schedule

<b>Agency:</b>	HLA	Department of Homeland Security
<b>Program:</b>	1-1	Homeland Security

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>FTE Positions</b>		
FTE	16.0	16.0
<b>Expenditure Category Total</b>	<b>16.0</b>	<b>16.0</b>
<b>Fund Source</b>		
<b>Non-Appropriated</b>		
2000-N Federal Grant (Non-Appropriated)	16.0	16.0
<b>Fund Source Total</b>	<b>16.0</b>	<b>16.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Personal Services</b>		
Personal Services	1,032.2	1,040.0
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>1,032.2</b>	<b>1,040.0</b>
<b>Fund Source</b>		
<b>Non-Appropriated</b>		
2000-N Federal Grant (Non-Appropriated)	1,032.2	1,040.0
<b>Fund Source Total</b>	<b>1,032.2</b>	<b>1,040.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Employee Related Expenses</b>		
Employee Related Expenses	350.9	364.0
<b>Expenditure Category Total</b>	<b>350.9</b>	<b>364.0</b>
<b>Fund Source</b>		
<b>Non-Appropriated</b>		
2000-N Federal Grant (Non-Appropriated)	350.9	364.0
<b>Fund Source Total</b>	<b>350.9</b>	<b>364.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Professional &amp; Outside Services</b>		
External Prof/Outside Serv Budg And Appn	0.0	0.0
External Investment Services	0.0	0.0
Other External Financial Services	0.0	0.0
Attorney General Legal Services	0.0	0.0
External Legal Services	0.0	0.0
External Engineer/Architect Cost - Exp	0.0	0.0
External Engineer/Architect Cost- Cap	0.0	0.0
Other Design	0.0	0.0
Temporary Agency Services	0.0	0.0
Hospital Services	0.0	0.0
Other Medical Services	0.0	0.0
Institutional Care	0.0	0.0
Education And Training	0.0	0.0
Vendor Travel	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	HLA	Department of Homeland Security
<b>Program:</b>	1-1	Homeland Security

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Professional &amp; Outside Services</b>		
Professional & Outside Services Excluded from Cost Alloca	0.0	0.0
Vendor Travel - Non Reportable	0.0	0.0
External Telecom Consulting Services	0.0	0.0
Non - Confidential Specialist Fees	0.0	0.0
Confidential Specialist Fees	0.0	0.0
Outside Actuarial Costs	0.0	0.0
Other Professional And Outside Services	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Travel In-State</b>		
Travel In-State	13.4	16.0
<b>Expenditure Category Total</b>	<b>13.4</b>	<b>16.0</b>

Fund Source	FY 2016 Actual	FY 2017 Expd. Plan
<b>Non-Appropriated</b>		
2000-N Federal Grant (Non-Appropriated)	13.4	16.0
<b>Fund Source Total</b>	<b>13.4</b>	<b>16.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Travel Out-of-State</b>		
Travel Out of State	15.0	14.0
<b>Expenditure Category Total</b>	<b>15.0</b>	<b>14.0</b>

Fund Source	FY 2016 Actual	FY 2017 Expd. Plan
<b>Non-Appropriated</b>		
2000-N Federal Grant (Non-Appropriated)	15.0	14.0
<b>Fund Source Total</b>	<b>15.0</b>	<b>14.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Food (Library for Universities)</b>		
Food (Library for Universities)	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Aid to Organizations &amp; Individuals</b>		
Aid to Organizations and Individuals	19,017.7	26,114.0

## Program Expenditure Schedule

<b>Agency:</b>	HLA	Department of Homeland Security
<b>Program:</b>	1-1	Homeland Security

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Aid to Organizations &amp; Individuals</b>		
<b>Expenditure Category Total</b>	<b>19,017.7</b>	<b>26,114.0</b>
<b>Fund Source</b>		
<b>Non-Appropriated</b>		
2000-N Federal Grant (Non-Appropriated)	19,017.7	26,114.0
<b>Fund Source Total</b>	<b>19,017.7</b>	<b>26,114.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Other Operating Expenditures</b>		
Other Operating Expenditures	0.0	0.0
Insurance & Related Charges	6.9	6.9
Information Technology Services	35.8	55.0
Utilities	0.0	0.0
Non-Building or Land Rent	131.4	132.7
Building Rent Charges to State Agencies	0.0	0.0
COP Building Rent Charges to State Agencies	0.0	0.0
Rental of Land & Buildings	0.0	0.0
Interest Payments	0.0	0.0
Internal Acct, Budgeting and Financial Svcs.	0.0	0.0
Payments for Internal Services	0.0	0.0
Repair & Maintenance	0.0	0.0
Software Support and Maintenance	0.0	0.0
Operating Supplies	6.8	10.0
Resale Supplies	0.0	0.0
Sales of Assets	0.0	0.0
Conference, Education & Training	2.6	3.0
Advertising	0.0	0.0
Printing & Photography	0.0	0.0
Postage & Delivery	1.0	1.2
Miscellaneous Operating	2.1	2.5
Depreciation Expense	0.0	0.0
<b>Expenditure Category Total</b>	<b>186.6</b>	<b>211.3</b>

Fund Source		
<b>Non-Appropriated</b>		
2000-N Federal Grant (Non-Appropriated)	186.6	211.3
<b>Fund Source Total</b>	<b>186.6</b>	<b>211.3</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Equipment</b>		
Vehicles - Capital Leases	0.0	0.0
Furniture - Capital Leases	0.0	0.0
EDP Equipment - Mainframe - Capital Leases	0.0	0.0
EDP Equipment - Midrange - Capital Leases	0.0	0.0
EDP Equipment - PCs/LAN - Capital Leases	0.0	0.0
Telecommunication Equipment - Capital Leases	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	HLA	Department of Homeland Security
<b>Program:</b>	1-1	Homeland Security

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Equipment</b>		
Other Equipment - Capital Leases	0.0	0.0
Capital Equipment Purchases	0.0	0.0
Vehicles - Non-Capital	0.0	0.0
Furniture - Non-Capital	0.0	0.0
EDP Equipment - Mainframe - Non-Capital	0.0	0.0
Telecommunication Equipment - Non Capital	0.0	0.0
Other Equipment - Non-Capital	1.7	0.0
Purchased Or Licensed Software/Website	0.0	0.0
Internally Generated Software/Website	0.0	0.0
<b>Expenditure Category Total</b>	<b>1.7</b>	<b>0.0</b>

Fund Source		
<b>Non-Appropriated</b>		
2000-N Federal Grant (Non-Appropriated)	1.7	0.0
<b>Fund Source Total</b>	<b>1.7</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Capital Outlay</b>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Debt Services</b>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Cost Allocation</b>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Transfers</b>		
Transfers	2,866.8	2,801.8
<b>Expenditure Category Total</b>	<b>2,866.8</b>	<b>2,801.8</b>

Fund Source		
<b>Non-Appropriated</b>		
2000-N Federal Grant (Non-Appropriated)	2,866.8	2,801.8
<b>Fund Source Total</b>	<b>2,866.8</b>	<b>2,801.8</b>

### Classification Listing

## Program Expenditure Schedule

<b>Agency:</b>	HLA	Department of Homeland Security
<b>Program:</b>	1-1	Homeland Security

Class Code	Title	Grade	Total FTE
AUN09	ADMV SVCS OFFCR 4	23	1.0
AUN07	HL ASST DIR FIN & ADMN	26	1.0
AUN07	HL DIR	E5	1.0
AUN07	HL DPTY DIR STRTC OPS & POLICY	E3	1.0
AUN07	HL SPCL ASST TO THE DIR	20	1.0
AUN07	PROG CMLPNC AUDITOR	21	1.0
AUN07	PROG PROJ SPCT 1	18	1.0
AUN07	PROG PROJ SPCT 1	18	1.0
AUN07	PROG PROJ SPCT 2	19	1.0
AUN07	SOUTHWEST BORDER SPCT	18	1.0
AUN07	STRTGC PLANNER	22	1.0
AUN07	STRTGC PLANNER	22	2.0
AUN07	STRTGC PLANNER	22	1.0
AUN06	STRTGC PLNG MGR	27	1.0
AUN06	STRTGC PLNG MGR	27	1.0

### Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
State Retirement System	16.0	1,040.0	2000-N

### Combined Regular & Elected Positions At/Above FICA Maximum of \$118,500

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
1.0	136.5	0.0
Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

## Administrative Costs

Agency: HLA Department of Homeland Security

### Administrative Costs Summary

Common Administrative Area	FY 2018
Other Central Administration	167.3
Business and Finance	265.7
Information Technology	175.1
Human Resources	40.7
Director's Office	302.9
<b>Administrative Costs Total:</b>	<b>951.7</b>

### Administrative Cost / Total Expenditure Ratio

	Request	Admin %
<b>FY 2018</b>	30,561.1	3.1%

### Administrative Costs Detail

Common Administrative Area Administrative Activity	Admin Costs %	Program Costs %	Discussion
<b>Director's Office</b>			
Director's Office	100.0	0.0	Director, Deputy Director and Executive Assiatant support all agency functions and cannot be attributed to a specific program
<b>Human Resources</b>			
Human Resources	100.0	0.0	Assiatant Director of Fiance and Administration and Budget Managet spent 20% of their time on Hr related duties
<b>Information Technology</b>			
Information Technology	100.0	0.0	Community Preparedness Administrator's sub-activities included contract management, other personnel actions and strategic planning as well as grants and fleet management.
<b>Business and Finance</b>			
Business and Finance	100.0	0.0	Accounting and Human Resources functions.
<b>Other Central Administration</b>			
Other Central Administration	100.0	0.0	Grants Management System, management and supervision oversight.



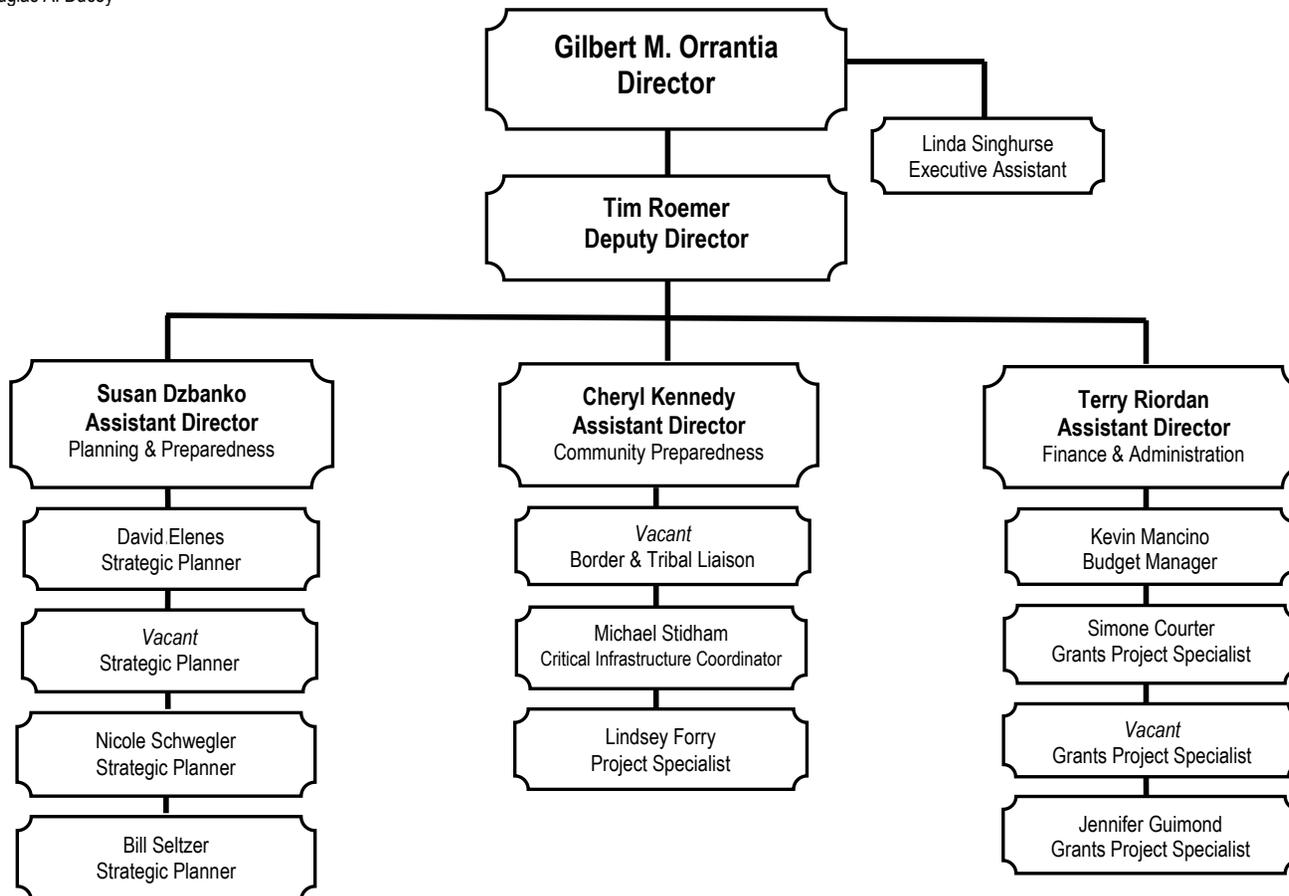
Governor Douglas A. Ducey

# State of Arizona Department of Homeland Security



Director Gilbert M. Orrantia

Effective July 1, 2016



Gilbert M. Orrantia

7/01/16

Date

# Arizona Department of Homeland Security Allocation and Expenditure Report

## Executive Summary

### Background

Arizona Revised Statutes § 41-4255 requires the Arizona Department of Homeland Security (AZDOHS) to submit a homeland security allocation and expenditure report for the fiscal period of July 1, 2015 to June 30, 2016 to the Governor, the President of the Senate, the Speaker of the House of Representatives, the Chairperson of the House and Senate Appropriations Committees, the staff and Co-Chairpersons of the Joint Legislative Budget Committee and the members of the Joint Legislative Committee on Homeland Security.

Per State law, the report includes:

- 1) Each local and State project that was awarded funding for the current year.
- 2) Expenditures for each local and State project that was awarded funding for the current year.
- 3) Expenditures from federal appropriations and grants that were used by the department for administrative and State agency purposes.
- 4) A narrative description detailing each State project awarded funding, including the goals and objectives of each state project.
- 5) The progress made on each project since the last report.
- 6) Project awards and expenditures from prior years beginning with fiscal year 2013-2015.
- 7) A detailed plan on how homeland security efforts will be continued in the event of decreased federal funding.

### Report Organization and Navigation

The enclosed report, details the following required information:

**Tab 1)** Executive Summary

**Tab 2)** Decreased Federal Funding Contingency Plan. This section includes narratives on the department's plans in the event of decreased federal funding.

**Tab 3)** 2013 Grant allocation and expenditures of local and State projects

**Tab 4)** 2013 State Agency Project Detail

**Tab 5)** 2014 Grant allocation and expenditures of local and State projects

**Tab 6)** 2014 State Agency Project Detail

**Tab 7)** 2015 Grant allocation and expenditures of local and State projects

**Tab 8)** 2015 State Agency Project Detail

The project detail is organized in alphabetical order by State agency name. The project detail includes jurisdiction, project title which is a short description of the project.

For information on projects funded with Federal Homeland Security Grants prior to federal fiscal year 2013, please refer to the 2009-2015 Homeland Security Allocation and Expenditure Reports. More detailed information on specific projects can be requested through Terry Riordan, Assistant Director, Finance and Administration at [triordan@azdohs.gov](mailto:triordan@azdohs.gov) or (602) 542-7056.

## **Department Accomplishments**

The Arizona Department of Homeland Security (AZDOHS) serves two principal roles in fulfilling its mission. The first is the role the department serves as an advisory agency to the Governor and his staff, and the second role is that of a grant administrative agency charged with the responsibility of managing and administering the Homeland Security Grant Program (HSGP) in Arizona. This year the AZDOHS provided essential strategic direction and access to critical resources to protect the safety, well-being, and economic security of Arizona and its residents.

The AZDOHS appeared before the Committee of Reference for a Sunset Review Hearing on October 27, 2015. The Director testified in front of the Committee, provided a presentation to the Committee and answered all of the members' questions. In addition, AZDOHS stakeholders from throughout the state testified before the Committee in support of the department's continuation. The Committee unanimously recommended continuation of AZDOHS for another eight years. On May 11, 2016, Governor Ducey signed the AZDOHS continuation bill (SB 1226), extending the department for eight years.

In 2015, the AZDOHS was again recognized by Federal Emergency Management Agency (FEMA) Region IX for its grant administration best practices. Due to the efficient and effective manner in which the AZDOHS has operated, the department and its stakeholders have expended over 99% of the federal funds awarded to Arizona. The AZDOHS has remained focused on: border security; cybersecurity; counterterrorism; combating human trafficking; prevention, protection, response, mitigation and recovery capabilities; monitoring of grant expenditures, the State's Threat and Hazard Identification and Risk Assessment (THIRA); and the State Preparedness Report (SPR). Key accomplishments of the department from July 2015 through June 2016 include:

- **Operational Development**

The AZDOHS Director, Gilbert Orrantia, is a member of the Governor's Cabinet and serves as the Homeland Security Advisor (HSA) to the Governor and as a primary liaison to the U.S. Department of Homeland Security (USDHS). The director briefs the Governor and his staff regarding homeland security, border security, counterterrorism, immigration, and public safety issues. In addition, the director maintains an exceptional and interactive relationship with local, state, tribal, and federal law enforcement officials, emergency managers, first responders, and other public safety officials. These professional relationships extend to the Arizona county sheriffs (working closely with the sheriffs along the border), Arizona Department of Public Safety (DPS), FBI, U.S. Customs and Border Protection, U.S. Border Patrol, Immigration and Customs Enforcement, Homeland Security Investigations, USDHS, and the U.S. Drug Enforcement Administration. Director Orrantia serves as the Chairman of the National Governors Association – Governors Homeland Security Advisors Council, Co-Chair of the Arizona Human Trafficking Council, member of the FBI Joint Terrorism Task Force Executive Committee, member of the Arizona Counter Terrorism Information Center Executive Board and serves on numerous other committees involved in prevention, protection, mitigation, response and recovery to ensure a more prepared and resilient Arizona.

- **Border Security**

The AZDOHS continues to successfully administer the Operation Stonegarden Grant Program (OPSG) which supports enhanced cooperation and coordination among state, local, tribal, and federal law enforcement agencies in a joint mission to secure the United States' borders along routes of ingress from international borders. The OPSG provides funding for overtime and equipment for state, local, and tribal law enforcement officers working in conjunction with Border Patrol (BP). In coordination with BP, AZDOHS funds 38 state, local and tribal law enforcement agency missions of high-visibility uniformed patrols along the Arizona/Mexico border that facilitate an improved ability to prevent and protect Arizona's residents from terrorism, human smuggling, and drug trafficking. Year-to-date for FFY15 (July 1 2014 – June 30, 2015), OPSG has resulted in 41,756 vehicle stops, 7,388 citations issued, 1,273 illegal aliens apprehended, 2,462 criminal arrests, 68 stolen vehicles recovered, 30,086 pounds of marijuana and 438 pounds of hard drugs seized, and \$1,831,237 seized.

- **Homeland Security Grant Administration**

The AZDOHS conducted three grant information seminars to inform the state, local, and tribal stakeholders on the FFY 2016 Homeland Security Grant Program (HSGP) application and grant administration process. The seminars were held in Flagstaff, Glendale and Tucson. The AZDOHS is currently administering \$66.9 million in federal grant funds which includes the management and oversight of 750 subgrant projects. The AZDOHS has established policies, procedures and practices that have achieved a 99% average drawdown rate of all grant funds awarded. These processes were again recognized in 2015 as best practices by FEMA and benchmarked by fellow State Administrative Agencies.

- **Cybersecurity**

In 2016, the AZDOHS continued its leading role within state government to help develop strong cybersecurity policies to better position Arizona to defend against and respond to cyberattacks. On June 1, 2016 the AZDOHS Director and Deputy Director attended the State of Arizona Cyber Guard 2016 Tabletop Exercise held at the Allen Readiness Center. This event raised the awareness of cyber risk to the State of Arizona's critical infrastructure and the impacts that build up to a declaration of emergency. The AZDOHS regularly collaborates on cybersecurity best practices with several federal partners from across the law enforcement community, as well as with state agencies such as Arizona Department of Administration, Department of Emergency and Military Affairs, and DPS. Recognizing the persistent cybersecurity threat our state faces, the AZDOHS has supported the ACTIC with federal grants to improve cyber forensic capabilities and add additional staff to work on cybersecurity issues. Additionally, the AZDOHS has developed an invaluable relationship with the Arizona Cyber Threat Response Alliance (ACTRA) to improve information sharing between public and private companies in Arizona.

- **Arizona Human Trafficking Council**

Director Orrantia and Cindy McCain co-chair the Arizona Human Trafficking Council (AHTC) which continued its significant impact on fighting this horrible crime by implementing best practices; developing a comprehensive and coordinated victims' services plan; evaluating statewide data on human trafficking; promoting collaboration with law enforcement, state agencies, and the community; and raising public awareness. On March 11, 2016, on recommendations from the AZHTC, H.B. 2374 was signed into law by the Governor, expanding the offense of child prostitution to include knowingly providing a means for a minor to engage in prostitution. The main purpose of the bill was to pursue pimps that have removed themselves from the scene of the crime by providing youth victims with cell phones, hotel rooms, and computers, in order to carry out these sexual acts for money. The bill designated the violation as a Class 2 felony.

### **Financial/Grant Development**

For Federal Fiscal Year (FFY) 2016, the State of Arizona received the following Federal homeland security grant allocations/awards:

- State Homeland Security Grant Program (SHSGP): \$4,568,000
  - Phoenix Urban Area Security Initiative (UASI): \$5,430,000
  - Operation Stonegarden Grant Program (OPSG): \$11,800,000
  - UASI Non Profit Security Grant Program (UASI-NSGP):\$458,911
- **State Homeland Security Grant Program (SHSGP)** is designed to fund State Homeland Security Strategy activities that will build capacity to prevent, protect against, respond to, mitigate and recover from acts of terrorism, major disasters and other critical hazards. Funds are used to address planning, equipment, training and exercise activities.
  - **Urban Area Security Initiative (UASI)** funds address the unique planning, equipment, training and exercise needs of high threat, high density urban areas in our state to prevent, protect against, respond to, mitigate and recover from acts of terrorism.
  - **Operation Stonegarden Grant Program (OPSG)** funding is solely awarded to border counties and is specifically for overtime and equipment for local law enforcement for direct operational support of U.S. Customs and Border Protection border security efforts.
  - **Urban Area Security Initiative Nonprofit Security Grant Program (UASI - NSGP)** provides funding support for hardening and other physical security enhancements to nonprofit organizations that are at high risk of a terrorist attack and located within one of the specific USDHS designated Urban Areas. The program seeks to integrate the preparedness activities of nonprofit organizations that are at high risk of terrorist attack with broader state and local preparedness efforts as well as promote coordination and collaboration in emergency preparedness activities among public and private community representatives.

### **Grant-Related Outreach and Highlights**

- During FFY 2016, the AZDOHS made 202 subgrant awards totaling \$20.9 million and made 51 reallocation subgrant awards totaling \$2.05 million. Funds are awarded to state, local and tribal

agencies in support of the State Homeland Security Strategy, as well as, the Threat Hazard Identification Risk Assessment (THIRA) and State of Arizona State Preparedness Report (SPR).

**Organizational Development**

- During fiscal year 2016, the AZDOHS conducted 46 site visits, monitoring over 223 grant projects. Monitoring visits with stakeholders provides an opportunity to review multiple grant projects and discuss program and fiscal management procedures for compliance with grant guidance. It is also an avenue to meet with local jurisdiction representatives and offer technical assistance. In addition, the AZDOHS completed desk reviews of 37 stakeholders and 142 grant projects.
- The AZDOHS completed the 2015 THIRA and SPR updates to USDHS. The SPR is a report mandated by Congress under the Federal Post Katrina Emergency Management Reform Act of 2006 (PKEMRA). The report documents Arizona’s progress toward the core capability targets as identified by USDHS in support of the State’s mission to protect citizens, prevent terrorist acts, reduce state vulnerability, minimize damage, and recover from all critical hazards.

**Tribal Related Outreach**

Over the past year, the AZDOHS Tribal Liaison participated in the following events:

- August 11-15, 2015 - The AZDOHS Tribal liaison attended the National Joint Tribal Emergency Management Conference hosted by the North West Tribal Emergency Management Council (NWTEMC) and the Kalispel Indian Tribe in Spokane Washington.
- February 23, 2016 – The Interim Tribal Liaison participated in the Arizona Tribal Liaison Meeting during which she provided updates on the outreach AZDOHS has made to the Tribal community, including but not limited to sharing information on emergency and preparedness opportunities released by FEMA that are available to AZDOHS’ Tribal partners for FY 2016.

**Management & Administration and Planning Expenditures**

The table below shows expenditures made by the AZDOHS for management and administration of homeland security grants and for homeland security planning activities. Information is included for state FY 2015 and FY 2016.

Arizona Department of Homeland Security Management and Administration, Planning Expenditures							
		STATE FY 2015			STATE FY 2016		
Object #	Object	M&A	Planning	Total	M&A	Planning	Total
6000	Personal Services	\$436,965	\$357,742	\$794,707	\$555,913	\$476,244	\$1,032,157
6100	Employee Related Expenses	\$145,299	\$113,274	\$258,573	\$192,992	\$157,914	\$350,906
6200	Professional and Outside	\$0	\$0	\$0	\$0	\$0	\$0

	Services						
6500	In-State Travel	\$6,649	\$2,720	\$9,369	\$7,023	\$6,361	\$13,384
6600	Out-of-State Travel	\$4,348	\$2,382	\$6,730	\$1,298	\$13,717	\$15,015
6800	Aid to Organizations	\$0	\$0	\$0	\$0	\$0	\$0
7000	Other Operating Expenditures	\$151,753	\$2,831	\$154,584	\$180,682	\$5,920	\$186,602
8400	Capital Equipment	\$0	\$0	\$0	\$0	\$0	\$0
8500	Non-Capital Equipment	\$980	\$0	\$980	\$905	\$780	\$1,685
9100	Transfers- Out	\$111,413	\$43,144	\$154,557	\$230,838	\$68,750	\$299,588
	Total	\$857,407	\$522,093	\$1,379,500	\$1,169,650	\$729,687	\$1,899,337